

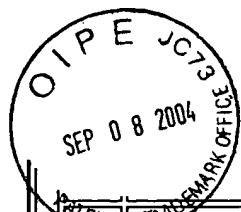


## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

| Title of Invention  | Compositions and Methods for in vivo Delivery of Polynucleotide-Based Therapeutics |            |            |                |      |       |          |      |          |            |      |          |      |       |          |  |   |         |            |                |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |               |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |         |  |  |  |  |    |         |            |               |  |  |  |  |    |         |            |              |    |  |  |  |    |         |            |               |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |
|---|--|------------|------------|----------------|------|-------|----------|------|----------|------------|------|----------|------|-------|----------|--|---|---------|------------|----------------|--|--|--|--|---|---------|------------|----------------|--|--|--|--|---|---------|------------|---------------|--|--|--|--|---|---------|------------|--------------|--|--|--|--|---|---------|------------|----------------|--|--|--|--|---|---------|------------|---------|--|--|--|--|---|---------|------------|--------------|--|--|--|--|---|---------|------------|---------|--|--|--|--|---|---------|------------|---------|--|--|--|--|----|---------|------------|---------------|--|--|--|--|----|---------|------------|--------------|----|--|--|--|----|---------|------------|---------------|----|--|--|--|----|---------|------------|---------|----|--|--|--|----|---------|------------|---------|----|--|--|--|----|---------|------------|---------|----|--|--|
| <p>Application Number: 09/839574</p> <p>Confirmation Number: 1437</p> <p>First Named Applicant: Marston Manthorpe</p> <p>Attorney Docket Number: 1530.0180002</p> <p>Search string: ( 5264618 or 5459127 or 5641665 or 5693622 or 5703055 or 5861397 or 5910488 or 5994317 or 6022874 or 6147055 or 6228844 or 6399588 or 6586409 or 6670332 or 6696424 or 6706694 or 20030032615 or 20030186913 or 20030191082 or 20030203863 or 20040157789 ).pn.</p> <p><b>US Patent Documents</b></p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>5264618</td><td>1993-11-23</td><td>Felgner et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>5459127</td><td>1995-10-17</td><td>Felgner et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>3</td><td>5641665</td><td>1997-06-24</td><td>Hobart et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>4</td><td>5693622</td><td>1997-12-02</td><td>Wolff et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>5</td><td>5703055</td><td>1997-12-30</td><td>Felgner et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>6</td><td>5861397</td><td>1999-01-19</td><td>Wheeler</td><td></td><td></td><td></td></tr><tr><td></td><td>7</td><td>5910488</td><td>1999-06-08</td><td>Nabel et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>8</td><td>5994317</td><td>1999-11-30</td><td>Wheeler</td><td></td><td></td><td></td></tr><tr><td></td><td>9</td><td>6022874</td><td>2000-02-08</td><td>Wheeler</td><td></td><td></td><td></td></tr><tr><td></td><td>10</td><td>6147055</td><td>2000-11-14</td><td>Hobart et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>11</td><td>6228844</td><td>2001-05-08</td><td>Wolff et al.</td><td>B1</td><td></td><td></td></tr><tr><td></td><td>12</td><td>6399588</td><td>2002-06-04</td><td>Hobart et al.</td><td>B1</td><td></td><td></td></tr><tr><td></td><td>13</td><td>6586409</td><td>2003-07-01</td><td>Wheeler</td><td>B1</td><td></td><td></td></tr><tr><td></td><td>14</td><td>6670332</td><td>2003-12-30</td><td>Wheeler</td><td>B1</td><td></td><td></td></tr><tr><td></td><td>15</td><td>6696424</td><td>2004-02-24</td><td>Wheeler</td><td>B1</td><td></td><td></td></tr></tbody></table> |  |            |            |                |      |       |          | init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |  | 1 | 5264618 | 1993-11-23 | Felgner et al. |  |  |  |  | 2 | 5459127 | 1995-10-17 | Felgner et al. |  |  |  |  | 3 | 5641665 | 1997-06-24 | Hobart et al. |  |  |  |  | 4 | 5693622 | 1997-12-02 | Wolff et al. |  |  |  |  | 5 | 5703055 | 1997-12-30 | Felgner et al. |  |  |  |  | 6 | 5861397 | 1999-01-19 | Wheeler |  |  |  |  | 7 | 5910488 | 1999-06-08 | Nabel et al. |  |  |  |  | 8 | 5994317 | 1999-11-30 | Wheeler |  |  |  |  | 9 | 6022874 | 2000-02-08 | Wheeler |  |  |  |  | 10 | 6147055 | 2000-11-14 | Hobart et al. |  |  |  |  | 11 | 6228844 | 2001-05-08 | Wolff et al. | B1 |  |  |  | 12 | 6399588 | 2002-06-04 | Hobart et al. | B1 |  |  |  | 13 | 6586409 | 2003-07-01 | Wheeler | B1 |  |  |  | 14 | 6670332 | 2003-12-30 | Wheeler | B1 |  |  |  | 15 | 6696424 | 2004-02-24 | Wheeler | B1 |  |  |
| init  | Cite.No.   | Patent No. | Date       | Patentee       | Kind | Class | Subclass |      |          |            |      |          |      |       |          |  |   |         |            |                |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |               |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |         |  |  |  |  |    |         |            |               |  |  |  |  |    |         |            |              |    |  |  |  |    |         |            |               |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |
|   | 1  | 5264618    | 1993-11-23 | Felgner et al. |      |       |          |      |          |            |      |          |      |       |          |  |   |         |            |                |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |               |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |         |  |  |  |  |    |         |            |               |  |  |  |  |    |         |            |              |    |  |  |  |    |         |            |               |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |
|   | 2  | 5459127    | 1995-10-17 | Felgner et al. |      |       |          |      |          |            |      |          |      |       |          |  |   |         |            |                |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |               |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |         |  |  |  |  |    |         |            |               |  |  |  |  |    |         |            |              |    |  |  |  |    |         |            |               |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |
|   | 3  | 5641665    | 1997-06-24 | Hobart et al.  |      |       |          |      |          |            |      |          |      |       |          |  |   |         |            |                |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |               |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |         |  |  |  |  |    |         |            |               |  |  |  |  |    |         |            |              |    |  |  |  |    |         |            |               |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |
|   | 4  | 5693622    | 1997-12-02 | Wolff et al.   |      |       |          |      |          |            |      |          |      |       |          |  |   |         |            |                |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |               |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |         |  |  |  |  |    |         |            |               |  |  |  |  |    |         |            |              |    |  |  |  |    |         |            |               |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |
|   | 5  | 5703055    | 1997-12-30 | Felgner et al. |      |       |          |      |          |            |      |          |      |       |          |  |   |         |            |                |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |               |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |         |  |  |  |  |    |         |            |               |  |  |  |  |    |         |            |              |    |  |  |  |    |         |            |               |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |
|   | 6  | 5861397    | 1999-01-19 | Wheeler        |      |       |          |      |          |            |      |          |      |       |          |  |   |         |            |                |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |               |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |         |  |  |  |  |    |         |            |               |  |  |  |  |    |         |            |              |    |  |  |  |    |         |            |               |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |
|   | 7  | 5910488    | 1999-06-08 | Nabel et al.   |      |       |          |      |          |            |      |          |      |       |          |  |   |         |            |                |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |               |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |         |  |  |  |  |    |         |            |               |  |  |  |  |    |         |            |              |    |  |  |  |    |         |            |               |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |
|   | 8  | 5994317    | 1999-11-30 | Wheeler        |      |       |          |      |          |            |      |          |      |       |          |  |   |         |            |                |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |               |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |         |  |  |  |  |    |         |            |               |  |  |  |  |    |         |            |              |    |  |  |  |    |         |            |               |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |
|   | 9  | 6022874    | 2000-02-08 | Wheeler        |      |       |          |      |          |            |      |          |      |       |          |  |   |         |            |                |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |               |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |         |  |  |  |  |    |         |            |               |  |  |  |  |    |         |            |              |    |  |  |  |    |         |            |               |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |
|   | 10   | 6147055    | 2000-11-14 | Hobart et al.  |      |       |          |      |          |            |      |          |      |       |          |  |   |         |            |                |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |               |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |         |  |  |  |  |    |         |            |               |  |  |  |  |    |         |            |              |    |  |  |  |    |         |            |               |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |
|   | 11   | 6228844    | 2001-05-08 | Wolff et al.   | B1   |       |          |      |          |            |      |          |      |       |          |  |   |         |            |                |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |               |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |         |  |  |  |  |    |         |            |               |  |  |  |  |    |         |            |              |    |  |  |  |    |         |            |               |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |
|   | 12   | 6399588    | 2002-06-04 | Hobart et al.  | B1   |       |          |      |          |            |      |          |      |       |          |  |   |         |            |                |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |               |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |                |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |              |  |  |  |  |   |         |            |         |  |  |  |  |   |         |            |         |  |  |  |  |    |         |            |               |  |  |  |  |    |         |            |              |    |  |  |  |    |         |            |               |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |  |    |         |            |         |    |  |  |
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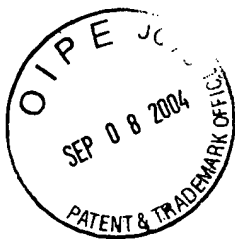
## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

| init | Cite.No. | Pub. No.    | Date       | Applicant      | Kind | Class | Subclass |
|------|----------|-------------|------------|----------------|------|-------|----------|
|      | 1        | 20030032615 | 2003-02-13 | Felgner et al. | A1   |       |          |
|      | 2        | 20030186913 | 2003-10-02 | Wolff et al.   | A1   |       |          |
|      | 3        | 20030191082 | 2003-10-09 | Wheeler        | A1   |       |          |
|      | 4        | 20030203863 | 2003-10-30 | Hobart et al.  | A1   |       |          |
|      | 5        | 20040157789 | 2004-08-12 | Geall          | A1   |       |          |

## Signature

|               |      |
|---------------|------|
| Examiner Name | Date |
|               |      |



1/n2/B

Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only

EFS ID: 68124

Application ID: 09839574



Title of Invention:

Compositions and Methods for  
in vivo Delivery of  
Polynucleotide-Based  
Therapeutics

First Named Inventor: Marston Manthorpe

Domestic/Foreign Application: Domestic Application

Filing Date: 2001-04-23

Effective Receipt Date: 2004-09-08

Submission Type: Information Disclosure  
Statement

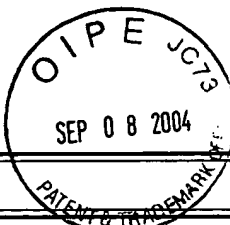
Filing Type:

Confirmation number: 1437

Attorney Docket Number: 1530.0180002


Total Fees Authorized:

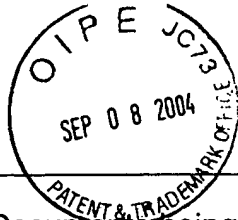
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**TRANSMITTAL**

Electronic Version v1.1

Stylesheet Version v1.1.0

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|--|--|-----------------------|
| <b>Title of<br/>Invention</b>  | <b>Compositions and Methods for in vivo Delivery of Polynucleotide-<br/>Based Therapeutics</b> |                       |
| <p>Application Number: 09/839574 </p> <p>Date: 2001-04-23</p> <p>First Named Applicant: Marston Manthorpe</p> <p>Confirmation Number: 1437</p> <p>Attorney Docket Number: 1530.0180002</p>   |  |                       |
| <p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p> |  |                       |
| <b>Submitted by:</b>   | <b>Elec. Sign.</b>   | <b>Sign. Capacity</b> |
| Ann Summerfield<br>Registered Number: 47,982   | /Ann SUMMERFIELD/  | Attorney              |



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### Comments

This Sixth Supplemental Information Disclosure Statement is a continuation of Applicants Fifth Supplemental Information Disclosure Statement filed electronically on February 25, 2003 in connection with this application. This Information Disclosure Statement is being filed before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. 1.114. No statement or fee is required. 37 C.F.R. 1.97(b)